

**Harvard University -- Faculty of Arts and Sciences
Principal Investigator Certification on Investigator Conflict of Interest
for NSF or PHS proposals**

All investigators seeking National Science Foundation (NSF) or Public Health Service (PHS) support must disclose to their universities every significant financial interest that would reasonably appear to be affected by any research for which NSF or PHS funding is sought. For Principal Investigators in the Faculty of Arts and Sciences this is accomplished through the annual filing of the Disclosure Form required for Federal Support. Universities must also have a written policy governing conflicts of interest, including all that must be revealed by disclosures by "each individual responsible for the design, conduct, or reporting of the research or educational activities funded or proposed for funding" by the agency. Funds may not be expended until identified conflicts have been managed or eliminated.*

All three sections of this certification must be completed by the Principal Investigator at the time of proposal submission to NSF or a PHS agency. The original of this certification should be submitted with the proposal to the Office for Sponsored Research. One copy should be enclosed with Laboratory Director/FAS Dean's approval. One copy should be retained with the Principal Investigator's records relating to the project.

1. Principal Investigator

Principal Investigator name: _____ Funding agency NSF PHS

Title of proposal: _____

A current "Disclosure Form required for Federal Support" is on file with the Committee on Professional Conduct (CPC). All significant financial interests related to this proposal have been disclosed.

2. Are there other investigators? (check either A or B)

A. No other individuals associated with this project bear responsibility for the design, conduct, or reporting of the proposed research; **or**

B. In addition to the Principal Investigator, the following individuals may be responsible for the design, conduct, or reporting of the proposed research. I have obtained a disclosure form from each. Forms indicating a potential conflict will be forwarded to the Committee on Professional Conduct. Forms indicating no potential conflict will be retained with my records for a period of 3 years following the completion of the grant. (Note: A faculty member who is an "other investigator" on this project may have filed a disclosure form directly with the CPC; in that case, s/he must still be listed by name below and the Principal Investigator should obtain written assurance that the form has been filed.)

<i>Other Investigator</i>	<i>Form completed</i>	<i>Other Investigator</i>	<i>Form completed</i>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

3. Principal Investigator signature. This signature indicates assurance by the Principal Investigator that s/he and all investigators have complied with federal and university requirements for disclosure of potential conflicts of interest relating to the sponsored proposal identified above.

Principal Investigator

date

* Disclosure forms and instructions may be obtained from Gearóid Griffin, FAS Research Integrity Officer, 1414 Massachusetts Avenue, Room 254, 495-9204, or at <http://www.fas.harvard.edu/~research/policy/coi.html>